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1287

PTO/SB/21 (12-97)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/932,621
Filing Date	08/17/2001
First Named Inventor	Deguchi
Group Art Unit	
Examiner Name	
Attorney Docket Number	Sony-02800

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Total Number of Pages in This Submission

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## ENCLOSURES (check all that apply)

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<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Authorization from Mr. Leshner	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input checked="" type="checkbox"/> Limited Authorization from Mr. Leshner	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Authorization from Mr. Fujii	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/>	<input type="checkbox"/> Executed Declaration
<input checked="" type="checkbox"/> Revocation of Power of Attorney	<input type="checkbox"/>	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Power of Attorney with Change of Correspondence Address	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b) for Sony Electronics	<input type="checkbox"/> Small Entity Statement	
<input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b) for Sony Corporation	<input type="checkbox"/> Request of Refund	
<input type="checkbox"/> Copy of the Assignment	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit overpayment to Deposit Account No: 50-1963. A duplicate copy of this authorization is enclosed.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard H. Butt
Signature	
Date	9/30/2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:			
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Signature		Date	9/30/2002

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#2 | Revocation P/A  
10/22/02  
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**Revocation  
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AUTHORIZATION OF AGENT**

Application Number	09/932,621
Filing Date	8/17/2001
First Named Inventor	Deguchi
Title	Embedded ...
Group Art Unit	1284
Examiner Name	
Attorney Docket Number	Sony-02800

I hereby appoint:

☐ Practitioners at Customer Number  →

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☒ Practitioner(s) named below:

Name	Registration Number
Richard H. Butt	40,932
Harold T. Fujii	38,458
Frederick J. Zustak	36,728
Ronald C. Card	44,587
Milton M. Frazier	44,687

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☒ Firm or  
Individual Name

Valley Oak Law

Address 5655 Silver Creek Valley Road

Address #106

City San Jose State CA Zip 95138

Country US

Telephone (408) 223-9763 Fax (408) 223-9765

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name Harold T. Fujii

Signature

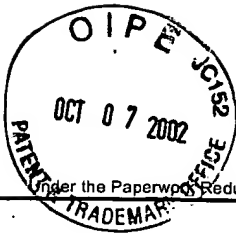
Date

9-18-02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Sony Corporation and Sony Electronics, Inc.  
Application No./Patent No.: 09/932,621 Filed/Issue Date: 8/17/2001  
Entitled: Embedded E-Marker Communication System  
Sony Corporation, a Japanese Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is 50 %  
in the patent application/patent identified above by virtue of either:

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- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 0209 Frame D312, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
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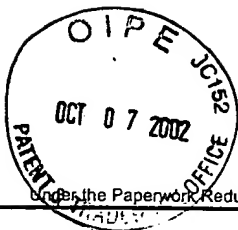
☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9-18-02  
Date

Harold T. Fujii  
Typed or printed name  
[Signature]  
Signature  
VP Chief IP Counsel  
Title



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Sony Corporation and Sony Electronics, Inc.  
Application No./Patent No.: 09/932,621 Filed/Issue Date: 8/17/2001  
Entitled: Embedded E-Mailer Communication System  
Sony Electronics, Inc., a U.S. Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is 50 %  
in the patent application/patent identified above by virtue of either:

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- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012096, Frame 0312, or for which a copy thereof is attached.

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- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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9-18-02  
Date

Harold T. Fujii  
Typed or printed name  
[Signature]  
Signature  
VP Chief IP Counsel  
Title



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## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/932,621
Filing Date	8/17/2001
First Named Inventor	Dequchi
Group Art Unit	1284
Examiner Name	
Attorney Docket Number	Sony - 02800

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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☒ A Power of Attorney or Authorization of Agent is submitted herewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name Harold T. Fujii

Signature

Date 9-18-02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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## AUTHORIZATION

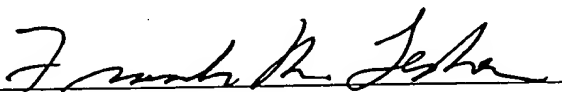
I, Frank M. Leshner, on behalf of Sony Electronics Inc., having offices at 1 Sony Drive, Park Ridge, New Jersey 07656, do hereby authorize the following individuals to appoint domestic representation in the United States for purposes of perfecting patent assignment recordings in accordance with U.S. Patent Office Rules:

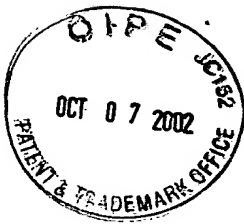
Harold T. Fujii  
Frederick J. Zustak

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I further hereby declare that as Executive Vice President, General Counsel, and Secretary for Sony Electronics Inc., I am authorized to grant this authorization. This authorization shall expire two years from the date below unless renewed in writing.

Executed on this 20th day of March, 2002

  
\_\_\_\_\_  
Frank M. Leshner  
Executive Vice President, General Counsel & Secretary  
Sony Electronics Inc.



## LIMITED AUTHORIZATION

Sony Electronics Inc., a Delaware Corporation, with offices at 1 Sony Drive, Park Ridge, New Jersey 07656, hereby appoint the following individuals as Authorized Representatives to execute documents on behalf of Sony Electronics Inc., for the sole purpose of correction of inventorship in the United States Patent Office and all patent offices for countries foreign to the United States of America:

Harold T. Fujii  
Frederick J. Zustak

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This Limited Authorization shall be effective as of the date of execution and may be revoked in writing at any time and shall expire two years from the effective date if not extended in writing.

I hereby verify that I have been empowered by Sony Electronics Inc. to execute this Limited Authorization on behalf of Sony Electronics Inc.

Executed on this 20th day of March, 2002

Frank M. Lesh  
Executive Vice President, General Counsel & Secretary  
Sony Electronics Inc.



## AUTHORIZATION

I, Reikichi Fujii, on behalf of Sony Corporation, having offices at 6-7-35 Kitashinagawa, Shinagawa-ku, Tokyo, Japan 141, hereby authorize the following individuals to appoint domestic representation in the United States for purposes of perfecting patent assignment recordations in accordance with U.S. Patent Office Rules:

Peter C. Toto  
Harold T. Fujii  
Frederick J. Zustak  
Frank M. Leshner

I further hereby declare that as Senior General Manager of Intellectual Property Division of Sony Corporation, I am authorized to grant this authorization. This authorization shall expire two years from the date below unless renewed in writing.

Reikichi Fujii  
Senior General Manager  
Intellectual Property Division  
Sony Corporation

October 4, 2001  
Date

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